

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

**BAKER BOTTS L.L.P.**

Fax receipt will not be confirmed by phone unless requested.

**JCWSCS 28 NOV 2003**2001 ROSS AVENUE  
DALLAS, TEXAS  
75201-2980  
214.953.6500  
FAX 214.953.6503  
www.bakerbotts.comAUSTIN  
BAKU  
DALLAS  
HOUSTON  
LONDON  
MOSCOW  
NEW YORK  
RIYADH  
WASHINGTON

TO	Office of Initial Patent Examination	FAX NO.	703-746-9195
FIRM/COMPANY	U.S. Patent and Trademark Office, Assignment Services	VOICE NO.	703-308-1202
FROM	Anthony T. Smith	VOICE NO.	214-953-6713
RETURN TO	Anthony T. Smith	PERSONAL FAX NO.	214-953-6503
		DATE	November 26, 2003
		PAGES (including cover)	5

**MESSAGE**

Please find attached a Request for Corrected Filing Receipt and the "Marked-Up" Filing Receipt for the patent application identified below:

In re Application of: Robert D. White  
Serial No.: 10/642,769  
Filed: August 18, 2003  
Title: *System and Method for Managing Dedicated Use of a Credit Account*  
Attorney Docket No. 073897.0138

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this cover sheet and the accompanying letter with enclosures are being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

Signature: Anthony T. Smith

Anthony T. Smith

Date: 11/26/2003**Notice of Confidentiality**

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 214.953.6740 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 214.953.6866 or 214.953.6740 for assistance.

BILLING NO. 073897.0138

ATTORNEY/EMPLOYEE NO. 05098

CRITICAL DEADLINE, SEND  
BY **ASAP**

ATTORNEY DOCKET NUMBER  
073897.0138

PATENT APPLICATION  
10/642,769

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert D. White  
Serial No.: 10/642,769  
Filing Date: August 18, 2003  
Confirmation No.: 3657  
Group Art No.: 3629  
Title: SYSTEM AND METHOD FOR MANAGING  
DEDICATED USE OF A CREDIT ACCOUNT

VIA FACSIMILE (703-746-9195):

Office of Initial Patent Examination's Customer Service Center  
Assistant Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

Attached is a copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application for which issuance of a corrected filing receipt is respectfully requested.

Under the "Drawings" Heading, please delete "4". Please insert—3—. A corrected filing receipt is respectfully requested.

DAL01:767321.1

ATTORNEY DOCKET NUMBER  
073897.0138

PATENT APPLICATION  
10/642,769

2

While it is believed that this is not an error by the Applicant, the Commissioner is hereby authorized to charge any amount required by this paper or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted this 17<sup>th</sup> day of November, 2003.

BAKER BOTTS L.L.P.

Attorneys for Applicant



Reg. No. 38,193

Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214)953-6431  
(ats)

DAL01:767321.1



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1410  
Alexandria, Virginia 22313-1430  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/642,769	08/18/2003	3629	1318	073897.0138	3 +	35	5

05073  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE  
SUITE 600  
DALLAS, TX 75201-2980

CONFIRMATION NO. 3657

## FILING RECEIPT



\*0C000000011236765\*

Date Mailed: 11/12/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Robert D. White, Midlothian, VA;

## Assignment For Published Patent Application

Capital One Financial Corporation;

## Domestic Priority data as claimed by applicant

## Foreign Applications

If Required, Foreign Filing License Granted: 11/10/2003

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

## Title

System and method for managing dedicated use of a credit account

Preliminary Clas  
705

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations specially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).